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EXPRESS MAIL CERTIFICATE

DOCKET NO. : 19603/2760 (CRF D-2404)
APPLICANTS : Ray J. Wu and Tuan-Hua David Ho
TITLE : METHOD OF MAKING WATER STRESS OR SALT STRESS
TOLERANT TRANSGENIC CEREAL PLANTS

Certificate is attached to the **Utility Patent Application Transmittal Form**
(2 pages) of the above-named application.

"EXPRESS MAIL" NUMBER: EL434572215US

DATE OF DEPOSIT: July 9, 1999

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date indicated above and is addressed to the Assistant Commissioner for Patents,
Washington, D.C. 20231 **Box Patent Application.**

Wendy L. Harrold

(Typed or printed name of person mailing
paper or fee)

A handwritten signature in cursive script, appearing to read 'Wendy L. Harrold', written over a horizontal line.

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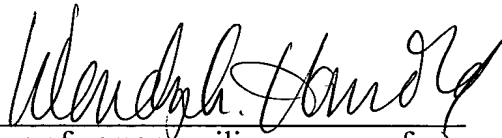
Certificate is attached to the **Informal Drawings (10 pages)** of the
above-named application.

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Certificate is attached to the **Patent Application including specification, claims, and abstract (54 pages) and Combined Declaration and Power of Attorney Form (2 pages)** of the above-named application.

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ASSISTANT COMMISSIONER FOR PATENTS

Washington, D.C. 20231

BOX: PATENT APPLICATION

SIR:

Transmitted herewith for filing is the patent application (including Specification, Claims, and Abstract, 54 pages) of:

Inventors : Ray J. Wu and Tuan-Hua David Ho

For : METHOD OF MAKING WATER STRESS OR SALT STRESS TOLERANT
TRANSGENIC CEREAL PLANTS

***If a CONTINUING APPLICATION, please mark where appropriate and supply the requisite information below and in a preliminary amendment:*

[] continuation [] divisional [] Continuation-In-Part (CIP)
of prior application Serial No. _____

Prior application information: Examiner :
Art Unit :

Enclosed are:

[X] 10 sheets of informal drawings.

[] Signed Combined Declaration and Power of Attorney (____ pages).

[] Copy of signed Combined Declaration and Power of Attorney (____ pages) from a prior application (1.63(d) (for continuation/divisional).

[] Signed statement deleting inventor(s) named in prior application (____ pages) (1.63(d)(2) and 1.33(b)).

[] **Incorporation By Reference:** The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied herewith, is considered as being part of the disclosure of the enclosed application and is hereby incorporated by reference therein.

[] Assignment (____ pages) of the invention to _____.

[] Assignment Transmittal Letter.

[] Certified copy of a foreign priority document.

[] Associate power of attorney.

[] Verified statement to establish small entity status (____ pages) (newly signed or copy filed in prior application).

- ☐ Preliminary Amendment (_____ pages).
- ☒ Information Disclosure Statement, form PTO-1449 (2 pages) and 18 references.
- ☒ **UNSIGNED** Combined Declaration and Power of Attorney (2 pages).
- ☐ Statement in Accordance with 37 CFR § 1.821(f) and computer readable 3.5" Diskette.
- ☒ A self-addressed, prepaid postcard acknowledging receipt.
- ☐ Other:

The Filing fee has been calculated as shown below:


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| FOR: | NO. FILED | NO. EXTRA | RATE | FEE | OR | RATE | FEE |
| BASIC FEE | XXXXXXXX | XXXXXXXX | XXXX | \$380 | OR | XXXX | \$760 |
| TOTAL CLAIMS | 27 - 20 = | 7 | x 9 = | \$63 | OR | x 18 = | \$ |
| INDEP CLAIMS | 2 - 3 = | 0 | x 39 = | \$0 | OR | X78 = | \$ |
| <input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED | | | x130 = | \$ | OR | x260 = | \$ |
| | | | TOTAL | \$443 | OR | TOTAL | \$ |

*If the Total Claims are less than 20 and Indep. Claims are less than 3, enter "0" in Col. 2

- ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____. **A duplicate copy of this sheet is enclosed.**
- ☒ A check in the amount of **\$443.00** to cover the filing fee is enclosed.
- ☒ Address all future communications to:

Michael L. Goldman
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Date: July 9, 1999


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